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Financing of the United Nations Support Mission in Haiti, the United Nations Transition Mission in Haiti and the United Nations Civilian Police Mission in Haiti

Financial performance report of the United Nations Civilian Police Mission in Haiti for the period from 1 July 1999 to 30 June 2000

Report of the Secretary-General

Summary

The present report contains the financial performance report of the United Nations Civilian Police Mission in Haiti (MIPONUH) for the period from 1 July 1999 to 30 June 2000. The General Assembly, by its resolution 53/222 B of 8 June 1999, appropriated an amount of $18,641,616 gross ($17,618,416 net) for the same period.

Expenditures for the period totalled $18,082,500 gross ($16,952,200 net), resulting in an unencumbered balance of $559,116 gross ($666,216 net).

The unencumbered balance resulted mainly from the fact that no expenditures were incurred for the refurbishment of equipment and only modest expenditures were incurred for assets shipped to the United Nations Logistics Base at Brindisi, Italy.

The action to be taken by the General Assembly in connection with the financing of the Mission are set out in paragraph 13 of the present report.

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I. Introduction

1. The Security Council decided, by its resolution 1141 (1997) of 28 November 1997, to establish the United Nations Civilian Police Mission in Haiti (MIPONUH) as a follow-on mission to the United Nations Transition Mission in Haiti (UNTMIH) for a one-year period beginning on 1 December 1997.

2. The budget for the maintenance of MIPONUH for the period from 1 July to 30 November 1999 and for its liquidation thereafter amounted to $17,532,200 gross ($16,509,000 net), excluding budgeted voluntary contributions in kind in the amount of $1,714,200. It provided for the maintenance of up to 300 civilian police, 74 international personnel, 133 locally recruited staff and 17 United Nations Volunteers through the end of the mandate (A/53/789/Add.1). The budget also provided for the phasing out of personnel during the liquidation period. The Advisory Committee on Administrative and Budgetary Questions recommended that the General Assembly appropriate $17,532,200 gross ($16,509,000 net) for the maintenance and liquidation of the Mission for the period from 1 July 1999 to 30 June 2000 (A/53/895/Add.7, para. 21).

3. The General Assembly, by its resolution 53/222 B of 8 June 1999, appropriated an amount of $18,641,616 gross ($17,618,416 net) for the maintenance and liquidation of MIPONUH for the period from 1 July 1999 to 30 June 2000, inclusive of $927,537 for the support account for peacekeeping operations and $181,879, for the United Nations Logistics Base at Brindisi, Italy. This amount has been assessed on Member States.

4. Subsequently, the Security Council decided, by its resolution 1277 (1999) of 30 November 1999, to continue MIPONUH in order to ensure a phased transition to the International Civilian Support Mission in Haiti by 15 March 2000.

5. Pursuant to the provisions of section IV of General Assembly resolution 49/233 A of 23 December 1994, in a letter to the Chairman of the Advisory Committee dated 6 December 1999, the Controller sought the concurrence of the Committee to enter into additional commitments of $2,201,284 gross ($1,987,784 net) to meet the cost of extending the MIPONUH mandate. The concurrence of the Committee was contained in a letter to the Secretary-General dated 10 December 1999. That amount has not been assessed on Member States.

6. Owing to the extension of the mandate of MIPONUH, it was not possible to complete all of the liquidation tasks by 30 June 2000. In a letter to the Chairman of the Advisory Committee dated 16 June 2000, the Controller sought the concurrence of the Committee to continue the liquidation of the Mission up to 30 September 2000, the cost of which would be met from within existing resources and reported to the General Assembly in the performance report. The concurrence of the Advisory Committee was contained in a letter to the Secretary-General dated 16 June 2000.

II. Implementation of the budget

7. Information on the operation of MIPONUH during the period under review is contained in the reports of the Secretary-General to the Security Council (S/1999/908, S/1999/1184 and S/2000/150).

8. During the reporting period, MIPONUH continued to concentrate on strengthening the capacity of the National Police until the termination of its mandate on 15 March 2000. Although the security situation was unstable and characterized by frequent demonstrations and incidents of violence and robbery, the Haitian National Police was able to work with increased efficiency because of progress made in the professionalization of the police force.

9. For the period from 1 July 1999 to 30 June 2000, the overall average vacancy rates were 14 per cent for civilian police, 25 per cent for international staff, 17 per cent for local staff and 37 per cent for United Nations Volunteers. Although the mandate of the Mission was extended to 15 March 2000, it continued to have difficulty in retaining personnel, as they sought reassignment to active missions. As a result of savings for personnel, the fact that there was no requirement for the refurbishment of equipment and being that for most assets the cost of shipment to the United Nations Logistics Base at Brindisi was charged to other missions, the commitment authority of $2,201,284 gross ($1,987,784 net), was not utilized.

10. Some tasks relating to the final audit of the Mission and the completion of liquidation activities at Headquarters will be completed during the period from 1 July 2000 to 30 June 2001. It is therefore necessary to retain an amount of $164,200 gross ($142,900 net) from the unencumbered balance, of which $20,300 gross and net is for the final audit and $143,900 gross ($122,600 net) is for the completion of liquidation tasks at Headquarters in New York.

III. Financial performance report for the period from 1 July 1999 to 30 June 2000

11. As indicated in table 1 below, an amount of $18,641,616 gross ($17,618,416 net) was appropriated for the maintenance and liquidation of MIPONUH for the period from 1 July 1999 to 30 June 2000. The related expenditures amounted to $18,082,500 gross ($16,952,200 net), inclusive of $904,000 in unliquidated obligations. The resulting unencumbered balance of $559,116 gross ($666,216 net) represents, in gross terms, 3 per cent of the amount appropriated.

Table 1
Apportionment and expenditure
(Thousands of United States dollars)

Category of expenditure	*Apportionment*	*Expenditure*[a]	*Variance*
Military personnel	75.9	108.4	(32.5)
Civilian personnel	11 518.0	12 762.0	(1 244.0)
Operational requirements	4 871.6	2 954.5	1 917.1
Other programmes[b]	43.5	17.9	25.6
United Nations Logistics Base at Brindisi	181.9	181.9	-
Support account for peacekeeping operations	927.5	927.5	-
Staff assessment	1 023.2	1 130.3	(107.1)
Gross requirements	**18 641.6**	**18 082.5**	**559.1**
Income from staff assessment	(1 023.2)	(1 130.3)	107.1
Net requirements	**17 618.4**	**16 952.2**	**666.2**
Voluntary contributions in kind (budgeted)	977.5	977.5	-
Voluntary contributions in kind (non-budgeted)	-	-	-
Total resources	**19 619.1**	**19 060.0**	**559.1**

[a] Includes an amount of $904,000 in unliquidated obligations.
[b] Excludes personnel.

12. Information on the deployment of civilian personnel during the reporting period is given in table 2 and annex III.

Table 2
Authorized staffing, incumbency and vacancy rates for civilian personnel for the period from 1 July 1999 to 30 June 2000

Personnel category	*Authorized strength*[a]	*Actual strength (average)*	*Vacancy rate (percentage)*
Civilian police	300	183	14
International staff	74	50	25
Local staff	133	100	17
United Nations Volunteers	17	10	37

[a] Represents highest level of authorized strength.

IV. Action to be taken by the General Assembly at its fifty-fifth session

13. **The following action is to be taken by the General Assembly in connection with the financing of MIPONUH:**

(a) **Noting that the commitment authority of $2,201,284 gross ($1,987,784 net) authorized by the Advisory Committee on Administrative and Budgetary Questions under the terms of section IV of Assembly resolution 49/233 A of 23 December 1994 was not utilized;**

(b) **Deciding to authorize the Secretary-General to utilize an amount of $164,200 gross ($142,900 net) from the resources provided for the period ending 30 June 2000 in order to meet the cost of completing the liquidation tasks at Headquarters, already concurred in by the Advisory Committee, as well as to conduct the final audit of the Mission;**

(c) **Deciding on the treatment of the remaining unencumbered balance of $394,916 gross ($523,316 net) for the period ending 30 June 2000.**

Annex I

Financial performance report for the period from 1 July 1999 to 30 June 2000: summary statement

(Thousands of United States dollars)

Category of expenditure	*(1)* *Apportionment* [a]	*(2)* *Non-recurrent expenditures*	*(3)* *Total expenditures (inclusive of non-recurrent expenditures)*	*(4) = (1 - 3)* *Variance*
I. Military personnel				
1. Military observers	-	-	-	-
2. Military contingents	-	-	-	-
3. Other requirements pertaining to military personnel				
(a) Contingent-owned equipment	-	-	-	-
(b) Self-sustainment	15.9	-	13.3	2.6
(c) Death and disability compensation	60.0	-	95.1	(35.1)
Subtotal, line 3	75.9	-	108.4	(32.5)
Total, category I	**75.9**	**-**	**108.4**	**(32.5)**
II. Civilian personnel				
1. Civilian police	4 385.9	-	5 389.5	(1 003.6)
2. International and local staff	6 593.1	-	6 861.0	(267.9)
3. United Nations Volunteers	539.0	-	511.5	27.5
4. Government-provided personnel	-	-	-	-
5. Civilian electoral observers	-	-	-	-
Total, category II	**11 518.0**	**-**	**12 762.0**	**(1 244.0)**
III. Operational requirements				
1. Premises/accommodation	606.2	-	541.9	64.3
2. Infrastructure repairs	-	-	-	-
3. Transport operations	1 128.8	-	878.7	250.1
4. Air operations	45.6	-	50.9	(5.3)
5. Naval operations	27.3	-	14.5	12.8
6. Communications	701.1	31.6	266.1	435.0
7. Other equipment	133.3	20.1	159.4	(26.1)
8. Supplies and services	1 071.8	-	1 012.5	59.3
9. Air and surface freight				
(a) Transport of contingent-owned equipment	-	-	-	-
(b) Commercial freight and cartage	1 157.5	-	30.5	1 127.0
Total, category III	**4 871.6**	**51.7**	**2 954.5**	**1 917.1**

Category of expenditure	*(1)* *Apportionment* [a]	*(2)* *Non-recurrent expenditures*	*(3)* *Total expenditures (inclusive of non-recurrent expenditures)*	*(4) = (1 - 3)* *Variance*
IV. Other programmes				
1. Election-related supplies and services	-	-	-	-
2. Public information programmes	31.5	-	17.9	13.6
3. Training programmes	12.0	-	-	12.0
4. Mine-clearing programmes	-	-	-	-
5. Assistance for disarmament and demobilization	-	-	-	-
Total, category IV	**43.5**	**-**	**17.9**	**25.6**
V. United Nations Logistics Base at Brindisi	**181.9**	**-**	**181.9**	**-**
VI. Support account for peacekeeping operations	**927.5**	**-**	**927.5**	**-**
VII. Staff assessment	**1 023.2**	**-**	**1 130.3**	**(107.1)**
Gross requirements, categories I-VII	18 641.6	51.7	18 082.5	559.1
VIII. Income from staff assessment	**(1 023.2)**	**-**	**(1 130.3)**	**107.1**
Net requirements, categories I-VIII	17 618.4	51.7	16 952.2	666.2
IX. Voluntary contributions in kind (budgeted)	**977.5**	**-**	**977.5**	**-**
X. Voluntary contributions in kind (non-budgeted)	**-**	**-**	**-**	**-**
Total	**19 619.1**	**51.7**	**19 060.0**	**559.1**

[a] Based on appropriation provided by the General Assembly in its resolution 53/222 B.

Annex II

Supplementary information on significant variances

Military personnel

Other requirements pertaining to military personnel

Apportionment: $75,900; expenditure: $108,400; variance: ($32,500)

1. Additional requirements of $32,500 under this heading related to death and disability as a result of the extension of the mandate of the Mission.

Civilian personnel

Apportionment: $11,518,000; expenditure: $12,762,000; variance: ($1,244,000)

2. Additional requirements of $1,244,000 under this heading resulted from increased requirements under civilian police ($1,003,600) and international and local staff ($267,900), which were offset by reduced requirements of $27,500 under United Nations Volunteers.

Civilian police

Apportionment: $4,385,900; expenditure: $5,389,500; variance: ($1,003,600)

3. The apportionment of $4,385,900 was based on the deployment of a 140-member formed unit for 25,760 person-days and 160 civilian police for 29,440 days. Owing to the extension of the Mission's mandate, actual person-days amounted to 33,487 for the formed unit and 33,666 for the civilian police, resulting in additional requirements of $1,003,600 under this heading.

International and local staff

Apportionment: $6,593,100; expenditure: $6,861,000; variance: ($267,900)

4. Additional requirements under this heading resulted from the extension of the mandate of the Mission, however the full impact of the extension was minimized by high vacancy rates and the fact that local posts were occupied at lower grade levels than budgeted.

United Nations Volunteers

Apportionment: $539,000; expenditure: $511,500; variance: $27,500

5. The unutilized balance of $27,500 under this heading was due to a 35 per cent vacancy rate during the period from 1 July 1999 to 15 March 2000.

Operational requirements

Apportionment: $4,871,600; expenditure: $2,954,500; variance: $1,917,100

6. The unspent balance of $1,917,100 under this heading was due to lower requirements totalling $1,948,500 for premises ($64,300), transport operations

($250,100), naval operations ($12,800), communications ($435,000), supplies and services ($59,300) and air and surface freight ($1,127,000). This unutilized balance was partially offset by additional requirements of $31,400 for air operations ($5,300) and other equipment ($26,100).

Premises/accommodation

Apportionment: $606,200; expenditure: $541,900; variance: $64,300

7. The unutilized balance of $64,300 under this heading was attributable to two main factors, namely, the implementation of a cost allocation policy for rent between MIPONUH and the International Civilian Support Mission in Haiti (MICAH) during the liquidation of MIPONUH from 16 March to 30 June 2000 and the fall in generator fuel prices from the budgeted amount of $0.19 per litre to $0.11 per litre.

Transport operations

Apportionment: $1,128,800; expenditure: $878,700; variance: $250,100

8. The unspent balance of $250,100 under transport operations was due mainly to an administrative oversight resulting in the recording of expenditures amounting to $350,030 in the prior financing period. This expenditure related to the lease of military-type vehicles for use by the formed police unit during the period under review.

Air operations

Apportionment: $45,600; expenditure: $50,900; variance: ($5,300)

9. Additional requirements of $5,300 under this heading were attributable mainly to higher requirements for helicopter insurance and air traffic control services due to the extension of the Mission's mandate, which were partly offset by nil requirements for hire/charter costs for fixed-wing aircraft. The cost of one medical evacuation was charged to medical treatment and services, although budgetary provision for this purpose had been included under air operations.

Naval operations

Apportionment: $27,300; expenditure: $14,500; variance: $12,800

10. The unspent balance of $12,800 under this heading was due mainly to the fact that patrol boats were in the workshops for repairs and maintenance for longer periods than they were operational. There was, therefore, no expenditure on insurance, and final requirements were lower than estimated.

Communications

Apportionment: $701,100; expenditure: $266,100; variance: $435,000

11. The unutilized balance under this heading was due mainly to the fact that there was no requirement for the refurbishment of equipment before shipment to the United Nations Logistics Base at Brindisi, Italy.

Other equipment

Apportionment: $133,300; expenditure: $159,400; variance: ($26,100)

12. Additional requirements under this heading were due mainly to the purchase of surveillance equipment required after the departure of the police formed unit as well as some office and data-processing equipment required during the liquidation of the Mission.

Supplies and services

Apportionment: $1,071,800; expenditure: $1,012,550; variance: $59,300

13. The unspent balance under this heading was due mainly to reduced requirements for medical treatment and services, since there was only one case of evacuation, to Miami, United States of America.

Air and surface freight

Apportionment: $1,157,500; expenditure: $30,500; variance: $1,127,000

14. The unutilized balance of $1,127,000 was due to the transfer of assets to MICAH as well as the fact that the cost of shipment of most equipment sent to the United Nations Logistics Base at Brindisi was borne by other missions.

Other programmes

Apportionment: $43,500; expenditure: $17,900; variance: $25,600

Public information programmes

Apportionment: $31,500; expenditure: $17,900; variance: $13,600

15. The unutilized balance of $13,600 under this heading was attributable to delays in the recruitment of a replacement photographer.

Training programmes

Apportionment: $12,000; expenditure: 0; variance: $12,000

16. There was no expenditure incurred under this heading because training programmes were conducted by mission personnel.

Staff assessment

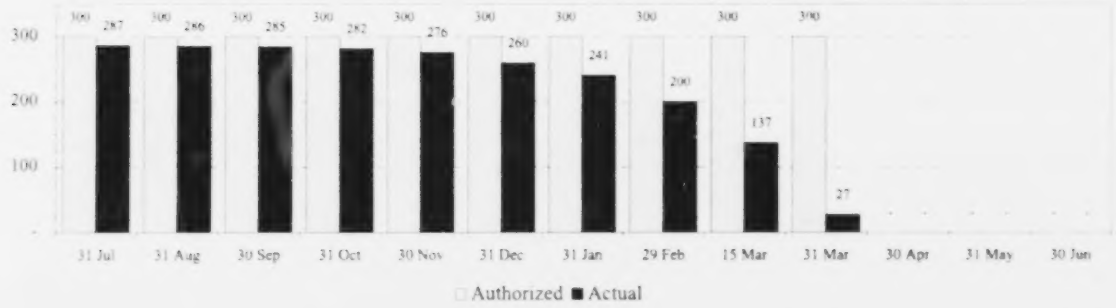
Apportionment: $1,023,200; expenditure: $1,130,300; variance: ($107,100)

17. Additional requirements under this heading resulted from the extension of the Mission's mandate.

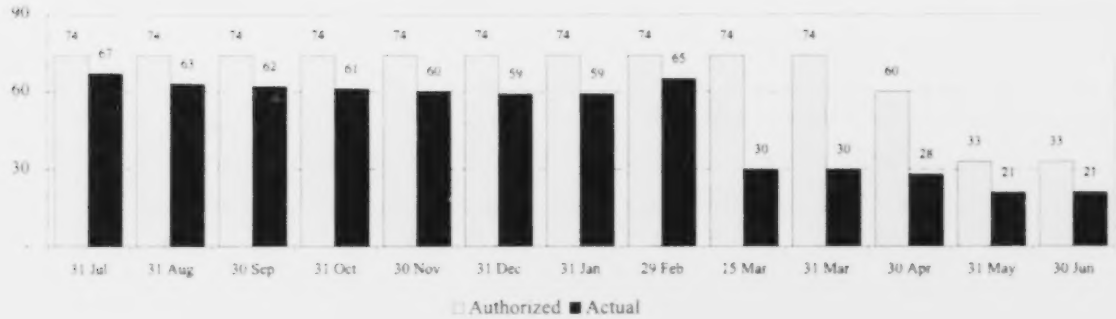
Annex III

Planned and actual deployment of civilian personnel for the period from 1 July 1999 to 30 June 2000

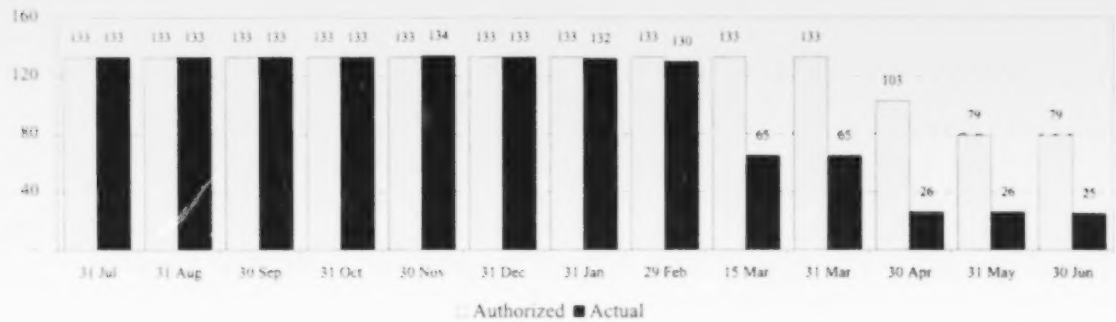
1. Civilian police



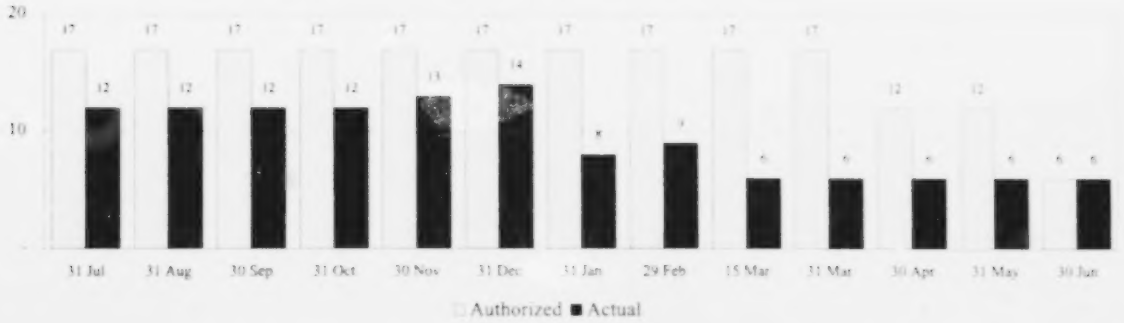
2. International staff



3. Local staff



4. United Nations Volunteers



Annex IV

Apportionment and expenditure for the period from 1 July 1999 to 30 June 2000